

ANNEXURE A

CONSOLIDATED KIM REPORTS & EDITABLE EXCEL SPREADSHEETS

Request

IP1 TO 6 AND 8 FORMS

1. Excel spreadsheet

We need to be able to export the data into an excel spreadsheet (for all institutions or selected institutions). We need to be able to see the following headings:

- A. IP form reference number
- B. IP7 (or associated) reference number
- C. Institution name
- D. Date received
- E. Date of acknowledgement letter
- F. Date of response
- G. Type of response: Approved/Not approved/ Commercial partners
- H. Title
- I. Status of applications
- J. Date of NIPMO discretionary power (only IP2)
- K. Name of authorize representative (only IP2/IP3)
- L. Confirmed date of hearing (only IP2/IP3)

2. IP7 FORMS

For IP7s we will need various excel spreadsheets:

Excel spreadsheet one (deals with the entire IP7 database). We need to be able to see the following headings. TO NOTE, with group 3 (New IP7) and group 2 (changes to previously reported IP7) you will have different IP information (the information as captured in group 3 and group 2 must be displayed).

a. CR number
b. Institution name
c. Group 3 submission date – date when institution first reported to NIPMO
d. Group 2 submission dates (if any) – the date(s) NIPMO was informed of any changes
e. Date of disclosure to OTT
f. Title /Name of the IP
g. Type of IP selected (can be more than one) – e.g. patent, trademark,
h. Name of Funding Agencies
i. Name of IP creators
j. Stage of disclosure: Under Evaluation (i.e. no protection, pending intellectual property and market assessment) Disclosed and Protected (i.e. no decision and agreement made to commercialise) Licensed (i.e. license agreement signed with a third party to commercialise but pre-revenue) Commercialised (i.e. revenue generation stage)
k. IP type (or types)
l. Territory
m. Date of Filing
n. Application Number
o. Date of Grant
p. Grant Number
q. Year of First Sale
r. Commercial Product Name
s. Total income received
t. Total Exclusive Licences Awarded
u. Total Exclusive Options Awarded
v. Total Non Exclusive Licenses Awarded

w. Total Non Exclusive Options Awarded	
x. Total BEE Licenses Awarded	
y. Total SME Licenses Awarded	
Excel spreadsheet two Deals with number of new IP7 (group 3) and updated IP7s (group2) received per year over multiple years as well as associated revenue received per submission period. We need to be able to export the data into an excel spreadsheet (for all institutions or selected institutions) for various submission periods.	
Excel spreadsheet three (deals commercial information). Is it possible to have a tables (total commercial data and commercial data per specific submission period)	
<p>3. IP Policy</p> <p>Report 1: Number of IP policies approved (to be expandable on which institutions this relates to)</p> <p>Report 2: Number of IP policies under review (to be expandable on which institutions this relates to)</p> <p>Report 3: Number of IP policies under awaiting amended submissions (to be expandable on which institutions this relates to)</p> <p>Report 4: Approval dates (by NIPMO) per institutions</p> <p>Report 5: To add approval by Board for recipient status and the implementation status</p>	
<p>4. IP Fund</p> <ol style="list-style-type: none"> 1. Number of institutions which applied for rebate for a specific year or a certain period (e.g. for the past four years) 2. The total amount approved for a specific year or a specific period 3. Amount approved per institution for a specific year or a specific period 4. Graphical depiction of eligible costs that institutions are claiming for 5. Percentage of claims disqualified versus the claims that were rejected 	
<p>5. OTT Support Fund</p> <ol style="list-style-type: none"> 1. The amount approved and/or paid out to institutions over a specific period (in total and per institution) 2. Number of employees in the OTT and their demographics (gender, race, qualifications) 	

3. Number of people employed within OTT over a specific period, those who are resigning or moving to another OTT. The report could also indicate permanently employed (previously supported by NIPMO) and contractually employed (Supported by NIPMOI) but still indicate the total number of employees within the OTT.
4. Graphical depiction of training initiatives attended by OTT staff members over a certain time frame
2. Number of awareness sessions held within a specified period
3. Number of attendees who attended the awareness sessions
4. Success stories within the OTT
5. Spin-offs / start-ups created within the OTT
6. Income generated through these spin-offs / start-ups
7. other OTT funding sources and amounts